



Sustainability Self Assessment Guide

November 2020

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Sustainability Self Assessment Guide

SSSA Sustainability Supplier Self Assessment

Access through the

CNH Industrial Supplier Portal

<https://supplier.cnhind.com/>

Sustainability Self Assessment Guide

- **User without a User ID** (see page 4)
- **User with a User ID** (see page 9)
- **Help desk and support** (see page 23)

Sustainability Self Assessment Guide

➤ User without an User ID

Sustainability Self Assessment Guide

How to obtain an user ID:

Connect to CNH Industrial **SUPPLIER PORTAL** : web site <https://supplier.cnhind.com>

Supplier Portal

Home
My profile
My links
News
Documents
Modules
Portal Administration

CASE **STEYR** **CASE** **NEW HOLLAND** **IVECO** **IVECO ASTRA** **IVECO BUS** **MAGIRUS** **IVECO DEFENCE VEHICLES** **FPT**

SUPPLYING TO CNH INDUSTRIAL
HOW TO BECOME A PROSPECTIVE SUPPLIER
SUSTAINABILITY
FAQ
TROUBLESHOOTING
CREATE A NEW USER ID

Operational News

18 Aug 2016
Closing of CNH Industrial N.V. \$600 million notes

14 Nov 2016
CNH Industrial's continued efforts to support to the earthquake-stricken areas in central ...

10 Nov 2016
Iveco supplies 109 natural gas vehicles to Madrid

09 Nov 2016
CNH Industrial's 2016 Year ...

Click on «Create a New userID»

Sustainability Self Assessment Guide

Supplier Portal

Home / Do you need a userID

Supplier Portal Access Request

1. Access approval | 2. Company information | 3. Supplier user information | 4. Password

CNH Industrial contact person *

Please provide the information of the CNH Industrial employee who will approve your access

CNH Industrial contact person 's phone number *

CNH Industrial contact person 's email *

Previous **Next** Cancel

CASE **STEYR** **CASE** **NEW HOLLAND** **NEW HOLLAND** **IVECO** **IVECO ASTRA** **IVECO BUS** **HEULIEZBUS** **MAGIRUS** **IVECO** **FPT**

https://supplier.cnhind.com/supplier/CNHInd.Supplier.Portal.UserInterface/Authentication/Supplier/Registration#step... Portal Administration

Sustainability Self Assessment Guide

Supplier Portal

Home / Do you need a userID

Supplier Portal Access Request

1. Access approval | **2. Company information** | 3. Supplier user information | 4. Password

Vendorcode as per your Purchase Order

Company name *

Address (street name and number) *

Postal code *

City *

State

Country *

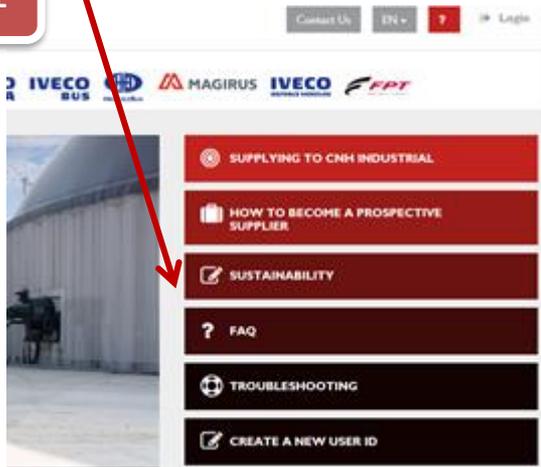
By providing your vendor code, the system will fill in automatically the company information data. If this does not happen or you don't know your vendor code yet, please complete the fields manually.

Fill in mandatory (*) fields for Access Approval, Company Information, Supplier User information, Password. You'll receive your «User ID» by email

Sustainability Self Assessment Guide

With the new user ID click on «Sustainability» from the Supplier Portal Homepage

1



2

Ask for Sustainability tool first access following the instruction

Supplier assessment

CNH Industrial's standards of environmental and social responsibility have been fully integrated into its supply chain management. Moreover, a monitoring process is adopted to verify that suppliers meet the sustainability standards set by CNH Industrial and, where necessary, to take steps towards improvement and realignment.

[Go to Sustainability Self Assessment Tool](#)

[Download the Supplier Self Assessment Tool Manual](#)

If you have a userID but you are not configured in Sustainability, logon to the Supplier Portal, select "My profile" in the menu, select "My functional groups" and then click the plus button next to "Quality SQP". Finally click on the SAVE button at the end of the page.

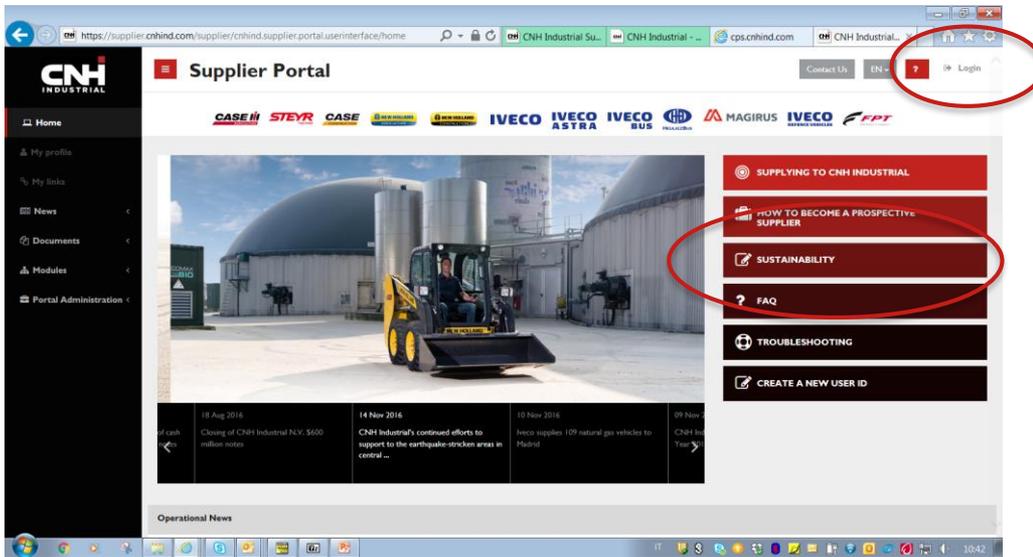
The Supplier Portal Support Team will send you an e-mail as soon as the access is granted.

Sustainability Self Assessment Guide

➤ User with a User ID

Sustainability Self Assessment Guide

1. Connect to CNH Industrial **SUPPLIER PORTAL**:
The web site is <https://supplier.cnhind.com>
2. Click on «Sustainability» and «Login»



3. Click on
«Go to Sustainability Self Assessment Tool »

Supplier assessment

CNH Industrial's standards of environmental and social responsibility are high. Moreover, a monitoring process is adopted to verify that suppliers are in compliance. If necessary, to take steps towards improvement and realignment.

- [Go to Sustainability Self Assessment Tool](#)
- [Download the Supplier Self Assessment Tool Manual](#)

If you have a userID but you are not configured in Sustainability. log

Sustainability Self Assessment Guide

1. Click on «Proceed» if you are configured for Sustainability
2. If you have an «User Id» but you are not configured in Sustainability see the “how to logon “description

Supplier Portal

Home / Modules / Quality / Sustainability Tool

Sustainability self assessment

CNH Industrial, a monitoring process is adopted to verify that suppliers meet the sustainability standards set by our company and, where necessary, to take steps towards im realignment.

The Sustainability Self Assessment tool provides a questionnaire concerning several aspects of your company related to Sustainability themes.

Proceed

How to log on ?

If you have a userID but you are not configured in Sustainability, logon to the Supplier Portal, select "My profile" in the menu, select "My functional groups" and then click the plus button next to "Quality SQP". Finally click on the SAVE button at the end of the page.

The Supplier Portal Support Team will send you an e-mail as soon as the access is granted.

If you have issues, please contact

Sustainability Self Assessment Guide



Sustainability Questionnaire

CAUTION:  SAVE FREQUENTLY TO AVOID LOSING DATA



QUESTIONNAIRES SEARCH

Segments&Functions Master Supplier Questionnaire Version



RESULTS

Action	Questionnaire Version	Master Supplier	Auditor writer	Status	Sup. Score	Aud. Score	Sup. Complete	Auditor Complete	Date
 	<input type="text" value="2020"/>	<input type="text" value="cg test"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>				
 	2020	CG TEST SUPPLIER		Active	0.00	0.00			16/11/2020
 	2019	CG TEST SUPPLIER		Active	0.00	0.00			22/11/2019
					0.00	0.00			09/01/2019
					0.00	0.00			09/10/2017

3

1

2

1 - Enter the name of your company

2 - Click on Search

3 - Click on  to open the 2020 SSSA

Sustainability Self Assessment Guide



Sustainability Supplier Self-Assessment version 2020

CAUTION: SAVE FREQUENTLY TO AVOID LOSING DATA



- Header
- MS details
- Copy

DUE DATE
12/31/2020 12:00:00 AM

LAST SAVED DATE

Master Supplier
CG TEST SUPPLIER

Answers

Human Rights

- ⚠ Do you have a COMPANY code of conduct that...
- ⚠ Do you have a SUPPLIER code of conduct tha...
- ⚠ Do you know the location of 100% of the su...
- ⚠ Does your company have a program or proces...

Human Rights	% Answers
⚠ HR1 - Do you have a COMPANY code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?	100
⚠ HR2 - Do you have a SUPPLIER code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Compensation and Working Hours including overtime?	80
the supplier facilities that provide goods or services to your company?	60
or process in place to measure or verify responsible Working Conditions practices of its	50
al requirement on its suppliers (including staffing agencies) to be in compliance with Labor	40

- Search
- Save draft version of responses
- Collapse all responses for the section
- Display all responses for the section
- Export .PDF version of complete assessment



Sustainability Self Assessment Guide



Sustainability Supplier Self-Assessment version 2020

Header DUE DATE LAST SAVED DATE
MS details 12/31/2020 12:00:00 AM
Copy

Answers

- Human Rights
- Environment
- Compliance & Ethics

Human Rights

! HR1 - Do you have a COMPANY code of
Guidance Statements in such areas as Bas
Freedom of Association, Health & Safety, Co

CG TEST SUPPLIER

Supplier Code	Supplier description	Duns HQ
CN1DN640	TESTCO	
CN2DN640	TESTCO	
CN3DN640	TESTCO	
CN4DN640	TESTCO	
CJ1DN640	TESTCO	
CN2WMF02	CNHi dummy supplier	

Clicking on «MS Details» it is possible to view the composition of the Master Supplier.

Please see the next page for more details.

Master Supplier

How to manage

The Self Assessment Questionnaire is structured according to our Suppliers' Company structure on Headquarter level (DUNS HQ) . For each Supplier Company, a Master Supplier has been created that corresponds to either:

- 1) a Headquarter according your Company Structure (DUNS HQ) or
- 2) a group of headquarters – aggregated in line with requests from previous years.

Each Master Supplier is linked to a single questionnaire to be answered.

You can request to change your Master Supplier structure :

- If the it does not correspond to your correct Company structure;
- if you need to provide answers to more than one Headquarter/Master Supplier;
- If you want to group more Headquarters under the same Master Supplier.

For example, if a holding company has a common sustainability strategy for all its Headquarters, they can all be aggregated under the same Master Supplier, so that the questionnaire will be answered one time for all of them.

On the opposite, if a Master Supplier contain codes that do not belong to the Headquarter, they can be removed.

Changes can be requested by opening a ticket through the HELP button within the tool.

See also: Supplier portal > MENU > Documents > Sustainability > Sustainability Self Assessment > SQP Suite Supplier Self Support Supplier user guide

Sustainability Self Assessment Guide



Sustainability Supplier Self-Assessment version 2020

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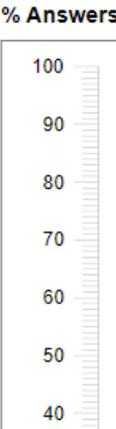
Header
MS details
Copy
Answers
Human Rights
Environment
Compliance & Ethics
Diversity
Health Safety
General

DUE DATE
12/31/2020 12:00:00 AM

LAST S...

Click **Copy Answers** to copy the answers from a previous version of the SSSA if already filled in

HR1 - Do you have a COMPANY code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?	<input type="checkbox"/>
HR2 - Do you have a SUPPLIER code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?	<input type="checkbox"/>
HR3 - Do you know the location of 100% of the supplier facilities that provide goods or services to your company?	<input type="checkbox"/>
HR4 - Does your company have a program or process in place to measure or verify responsible Working Conditions practices of its suppliers?	<input type="checkbox"/>
HR5 - Does your company place a contractual requirement on its suppliers (including staffing agencies) to be in compliance with Labor	<input type="checkbox"/>



Sustainability Self Assessment Guide



Sustainability Supplier Self-Assessment version 2020

CAUTION:
SAVE FREQUENTLY TO AVOID LOSING DATA



- Header
- MS details
- Copy

DUE DATE
12/31/2020 12:00:00 AM

LAST SAVED DATE

Master Supplier
CG TEST SUPPLIER

Answers

Human Rights

- ⚠ Do you have a COMPANY code of conduct that...
- ⚠ Do you have a SUPPLIER code of conduct tha...
- ⚠ Do you know the location of 100% of the su...
- ⚠ Does your company have a program or proces...
- ⚠ Does your company place a contractual requ...

Environment

Compliance & Ethics

Human Rights

⚠ HR1 - Do you have a COMPANY code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?

Company Code of Conduct

ⓧ -

Comment

% Answers

100
90
80
70
60

For each question
Enter your answers
& comments

Click here to open and
complete each
question

% completed
answers



Sustainability Self Assessment Guide

Human Rights

Environment

Compliance & Ethics

Diversity

Health Safety

General

Health Safety

⚠️ HS1 - Does your company have a health & safety management system at a facility level that documents and records the needed regulatory compliance issues and conforms to company and customer requirements?

System

⓪ -

Help Answer

Commento

List Answer

⚠️ HS2 - Does yo

⚠️ HS3 - Does yo

⚠️ HS4 - Are emp

⚠️ HS5 - Does yo

for employees

⚠️ HS6 - Does yo

⚠️ HS7 - Does yo

Human Rights - Do you have a SUPPLIER code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?

0. Our company currently does not have this item and has not dedicated resources to this area.

1. Our company has dedicated limited resources to this item, has recently begun to implement a program to address this item, or relies solely on external resources to address this item. Our company has approximately 25% of the requirements in place or underway.

2. Our company has elements of this item implemented (approximately 50%) with the understanding that further action and activities are required. Our management supports further engagement.

3. Our company has many of the elements of this item implemented and a formal policy in place. Implementation of the additional required elements is underway and planned over the course of a longer period of time. The time is approximately 75% implementation.

4. Our company fully undertands and has implemented at least 90% of the item. Some additional action is required in order to make this item robus and effective.

5. Our company has fully implemented this item and is able to address future requirements that are in development or anticipated. Management is fully engaged. Our company meets or exceeds customer or regulatory requirements

NA. This question does not apply to our company

% Risposte

100

90

80

70

60

50

40

30

20

Click  for description of responses

Sustainability Self Assessment Guide

Master Supplier

Copy Answers

- Do you have a COMPANY code of conduct that...
- ▲ Do you have a SUPPLIER code of conduct tha...
- Do you know the location of 100% of the su...
- ▲ Does your company have a program or proces...
- Does your company place a contractual requ...

Environment

- Does your company have an environmental ma...
- ▲ Does your company track waste recycling ra...
- Has your company established environmenta...
- Does your company report your greenhouse g...

Environment	% Answers
● EN1 - Does your company have an environmental management system? Examples include ISO 14001, Responsible Care, or internal systems. ▼	100
▲ EN2 - Does your company track waste recycling rates? ▼	90
● EN3 - Has your company established environmental targets and reduction plans for Greenhouse gas emissions, energy, water, waste and air emissions? ▼	80
● EN4 - Does your company report your greenhouse gas emissions and/or climate change strategy to the Carbon Disclosure Project (CDP) or similar initiatives? ▼	70
● EN5 - Does your company have a proactive (such as preventative maintenance) program in place and review sources of potential releases to air, water and land on a periodic basis and identify areas of improvement? ▼	60
▲ EN6 - Does your company have an Emergency Planning system and track and report progress on the requirements to management? ▼	50
▲ EN7 - Does your company evaluate regulatory requirements and track and report progress on the requirements to management? ▼	40
▲ EN8 - Does your company ensure environmental knowledge of regulatory requirements and new hire awareness of environmental requirements? ▼	30
▲ EN9 - Does your company require your suppliers to take ENVIRONMENTAL training? ▼	20
▲ EN10 - Does your company have an environmental policy that is approved by management and communicated throughout the organization? ▼	10
▲ EN11 - Does your company require the purchase of recycled and/or sustainable materials and work with suppliers to ensure environmental knowledge of regulatory requirements and new hire awareness of environmental requirements? ▼	0

Legend

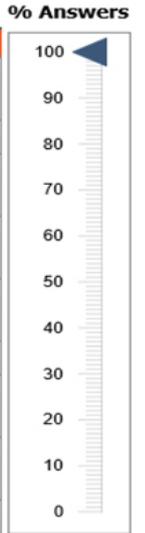
- ▲ Question has not been answered
- Value of 0 or 1 entered
- Value of 2 or 3 entered
- Value of 4 or 5 entered
- Response of NA entered

Sustainability Self Assessment Guide

- Human Rights
 - Do you have a COMPANY code of conduct that...
 - Do you have a SUPPLIER code of conduct tha...
 - Do you know the location of 100% of the su...
 - Does your company have a program or proces...
 - Does your company place a contractual requ...
- Environment
- Compliance & Ethics
- Diversity
- Health Safety
 - Does your company have a health & safety m...
 - Does your company have a process to evalua...
 - Does your company conduct facility level S...
 - Are employees actively involved in risk as...
 - Does your company promote understanding of...
 - Does your company have a program or proces...
 - Does your company have an emergency respon...
 - Does your company have an effective Emerge...
- General
 - Does your company collaborate with industr...

A comment is mandatory for every "NA" and "5" selection, please check the section tree

Environment	
EN1 - Does your company have an environmental management system? Examples include ISO 14001, Responsible Care, or internal systems.	<input type="checkbox"/>
EN2 - Does your company track waste recycling rates?	<input type="checkbox"/>
EN3 - Has your company established environmental targets and reduction plans for Greenhouse gas emissions, energy, water, waste and air emissions?	<input type="checkbox"/>
EN4 - Does your company report your greenhouse gas emissions and/or climate change strategy to the Carbon Disclosure Project (CDP) or similar initiatives?	<input type="checkbox"/>
EN5 - Does your company have a proactive (such as preventative maintenance) program in place and review sources of potential releases to air, water and land on a periodic basis and identify areas of improvement?	<input type="checkbox"/>
EN6 - Does your company have an Emergency Planning system and track and report progress on the requirements to management?	<input type="checkbox"/>
EN7 - Does your company have a system to evaluate regulatory requirements and track and report progress on the requirements to management?	<input type="checkbox"/>
EN8 - Does your company provide training to ensure environmental knowledge of regulatory requirements and new hire awareness of the company's environmental policy and procedures?	<input type="checkbox"/>
EN9 - Does your company provide or encourage your suppliers to take ENVIRONMENTAL training?	<input type="checkbox"/>
EN10 - Does your company have an environmental policy that is approved by management and communicated throughout the organization?	<input type="checkbox"/>
EN11 - Does your company have a system to require the purchase of recycled and/or sustainable materials and work with suppliers to...	<input type="checkbox"/>



NOTE: For NA and 5 answers, a comment is REQUIRED.

Sustainability Self Assessment Guide

← Help

CNH INDUSTRIAL

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CAUTION: SAVE FREQUENTLY TO AVOID LOSING DATA

Header
Master Supplier
Copy Answers

Human Rights
Environment
Compliance & Ethics
Diversity
Health Safety
General

Human Rights

- HR1 - Do you have a COMPANY code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?
- HR2 - Do you have a SUPPLIER code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?
- HR3 - Do you know the location of 100% of the supplier facilities that provide goods or services to your company?
- HR4 - Does your company have a program or process in place to measure or verify responsible Working Conditions practices of its suppliers?
- HR5 - Does your company place a contractual requirement on its suppliers (including staffing agencies) to be in compliance with Labor and Human Rights laws and regulations?

% Answers
100
90
80
70
60
50
40
30
20
10
0

This button  saves and transmits the questionnaire once 100% of questions have been answered

Sustainability Self Assessment Guide



Sustainability Supplier Self-Assessment version 2020

- Header
- Master Supplier
- Copy Answers

SAVE FR

Supplier Score 56.69
Auditor Score



- Human Rights
- Environment
- Compliance & Ethics
- Diversity
- Health Safety
- General

Human Rights	% Answers
<p>HR1 - Do you have a COMPANY code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?</p>	100
<p>HR2 - Do you have a SUPPLIER code of conduct that specifically addresses and is consistent with the AIAG Corporate Responsibility Guidance Statements in such areas as Basic Human Rights, Forced / Compulsory Labor, Child Labor, Discrimination and Harassment, Freedom of Association, Health & Safety, Compensation and Working Hours including overtime?</p>	100
<p>Free - Do you know the location of 100% of the supplier facilities that provide goods or services to your company?</p>	100
<p>HR3 - Does your company have a program or process in place to measure or verify responsible Working Conditions practices of its suppliers?</p>	100
<p>HR4 - Does your company place a contractual requirement on its suppliers (including staffing agencies) to be in compliance with labor laws and regulations?</p>	100

SCORING

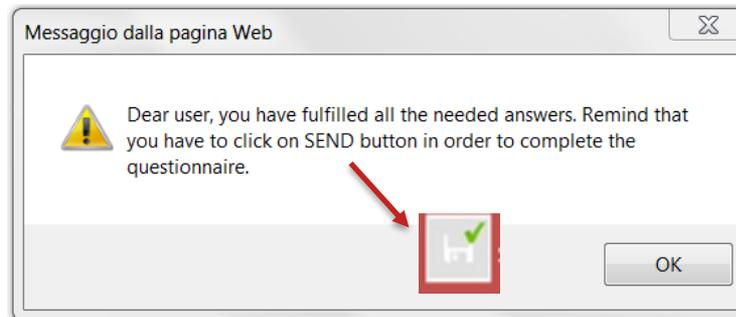


Once the questionnaire has been sent, the final score and the radar chart are displayed

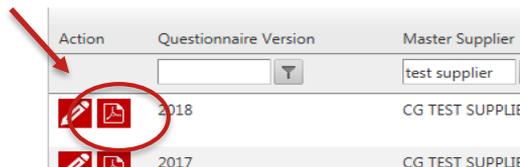
Sustainability Self Assessment Guide

Please take note

- ✓ The questionnaire can be saved at any time (partially or totally) prior to sending.
- ✓ Answers can be changed until final submission of the Self Assessment. After entering 100% of the answers it is required to put the questionnaire in the final status «sent». A dedicate message remind you to click on the specific «send» button



- ✓ The questionnaire can be always downloaded as .pdf file with responses you have provided.

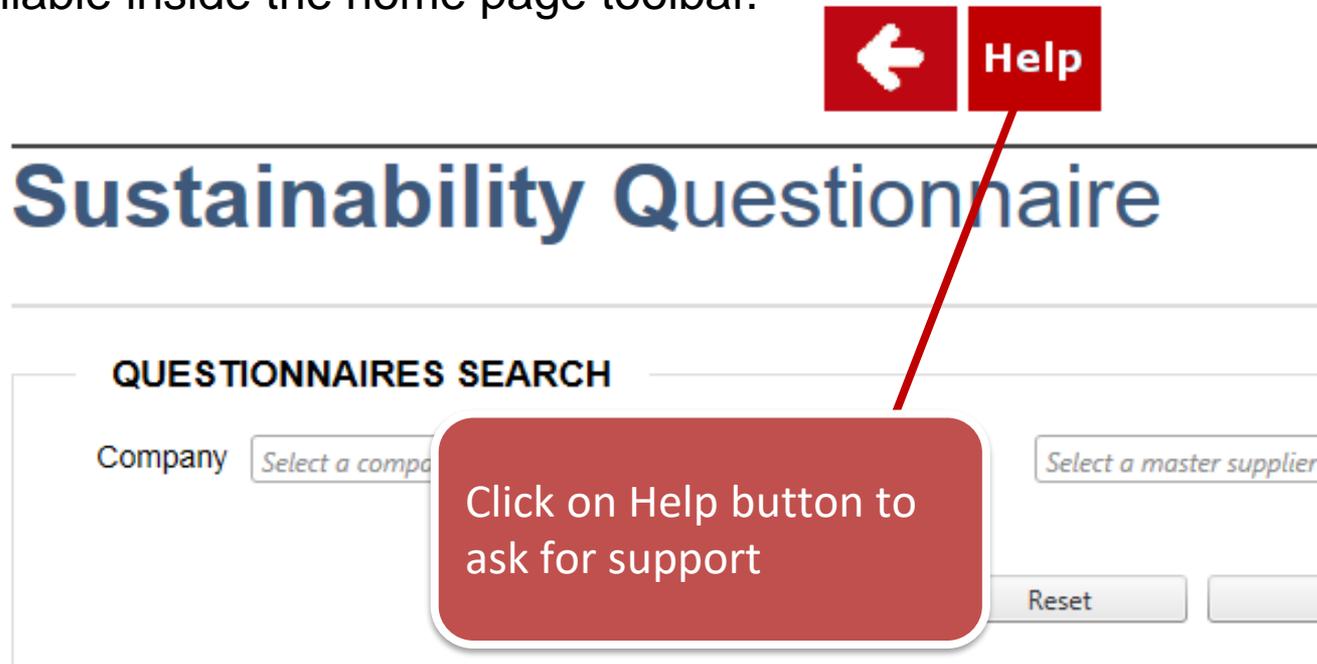


Sustainability Self Assessment Guide

➤ **Help desk and support**

Sustainability Self Assessment Guide

In case of technical issues during the tool navigation, a HELP button is available inside the home page toolbar.



For additional detail on how to open a ticket refer to

MENU > Documents > Sustainability > Sustainability Self Assessment > SQP Suite_Supplier Self Support_Supplier user guide